

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PCT NATIONAL STAGE APPLICATION OF

KARL-HEINZ ALTMANN

INTERNATIONAL APPLICATION NO: PCT/EP2005/002756

FILED: 15 MARCH 2005

U.S. APPLICATION NO: Not Yet Assigned

35 USC §371 DATE:

FOR: EPOTHILONE DERIVATIVES

MS: Amendment

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This paper is being filed within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 of the international application. Therefore, no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134.

In accordance with 37 C.F.R. §1.56, applicant wishes to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

The asterisked references were cited in the International Search Report and since copies of said references were forwarded by the International Bureau, only copies of the non-asterisked references are enclosed. A copy of a British Search Report, dated July 16, 2004 is also enclosed. The references cited therein were also cited in the International Search Report.

10/591921

IAP9 Rec'd PCT/PTO 07 SEP 2006

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,



Oona A. Jackson
Attorney for Applicant
Reg. No. 48,152

Novartis
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Date: 9/7/06

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

IAP9 Rec'd PCPA 04591921
PCPA 04591921
ATTY. DOCKET NO.
33683-US-PCT
APPLICATION NO.
Not Yet Assigned
APPLICANT
Karl-Heinz Altmann
FILING DATE
Group

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
/G.S./	AA 6,441,186*	8/27/06	Nicolaou et al.			
/G.S./	AB 2004/0039026	2/26/04	Nicolaou et al.			
/G.S./	AC 6,380,394	4/30/02	Nicolaou et al.			
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION YES	TRANSLATION NO
/G.S./	AM	99/28324*	6/10/99	WO			<input type="checkbox"/>	<input type="checkbox"/>
	AN	00/37473*	6/29/00	WO			<input type="checkbox"/>	<input type="checkbox"/>
	AO	2004/014919	2/19/04	WO			<input type="checkbox"/>	<input type="checkbox"/>
↓	AP	03/078411	9/25/03	WO			<input type="checkbox"/>	<input type="checkbox"/>
↓	AQ	03/018002	3/6/03	WO			<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

/G.S./	AR	Nicolaou et al., "Designed epothilones: Combinatorial synthesis, tubulin assembly properties, and cytotoxic action against taxol, resistant tumor cells," <i>Angewandte Chemie, International Edition, Verlag Chemie, Weinheim, DE, Vol. 38(19), pp. 2097-2103 (1997)</i> *
	AS	Nicolaou et al., "Chemical synthesis and biological evaluation of novel epothilone B and trans-12, 13-cyclopropyl epothilone B analogues," <i>Tetrahedron, Vol. 58(32), pp. 6413-6432 (2002)</i> *
↓	AT	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
BA						
BB						
BC						
BD						
BE						
BF						
BG						
BH						
BI						
BJ						
BK						
BL						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION YES	NO
/G.S./	BM 98/25929	6/18/98	WO			<input type="checkbox"/>	<input type="checkbox"/>
/G.S./	BN 97/19086	5/29/97	WO (English equivalent is US 6,288,237)			<input type="checkbox"/>	<input type="checkbox"/>
	BO					<input type="checkbox"/>	<input type="checkbox"/>
	BP					<input type="checkbox"/>	<input type="checkbox"/>
	BQ					<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

BR	
BS	
BT	

EXAMINER /Golam Shameem/ DATE CONSIDERED 01/04/2010

EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /G.S./